

Embryogenesis as Gravitational–Thermo–Biophysical Process

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Abstract

Biological development is traditionally interpreted through genetic and biochemical frameworks; however, living systems also operate as physical entities governed by mass distribution, thermal gradients, fluid dynamics, and mechanical constraints. This study advances an intrinsic gravitational–thermo–biophysical framework, proposing that biological organization emerges from the integration of genetic regulation with universal physical principles. Within this context, embryogenesis is viewed as a gene-initiated but mechanically executed process, in which structural form arises through viscoelastic deformation of cellular assemblies exhibiting granular-like collective behaviour.

Key morphogenetic stages—compaction, cavitation, gastrulation, and neurulation—are reinterpreted as threshold-driven reorganizations of mass involving stress redistribution, pressure gradients, fluid coupling, and energy dissipation. Development is further characterized by progressive mass accretion, thermogenesis, and structural differentiation. Germ layers are described as thermophysical domains that coordinate energy generation, transport, and regulation, while fluid systems—including amniotic fluid, circulation, and cerebrospinal fluid—provide buoyancy, convective transport, and pressure buffering, linking metabolic activity with structural organization.

Stem cell behaviour is interpreted through microenvironmental anchorage and central constraint, where critical mass, density, and positional stability regulate potency and differentiation. Compositional evolution, including incorporation of elements such as iron and calcium, enhances thermal regulation and metabolic integration, while stress-field organization and fluid-mediated forces guide morphogenesis.

This framework integrates biological, geophysical, and astrophysical perspectives, suggesting that living systems function as thermodynamically active, mechanically structured, and fluid-mediated assemblies. While not replacing molecular biology, it provides a complementary physical layer that offers new insights into development, thermoregulation, and disease, including cancer progression.

Keywords

Intrinsic gravitation; embryogenesis; morphogenesis; thermogenesis; viscoelastic mechanics; granular behaviour; germ layers; fluid dynamics; stem cell niche; mass–density organization; thermoregulation; bilateral symmetry; sex differentiation

Key Insights

1. Physical Layer of Biology

Biological systems operate not only through genetic and biochemical regulation but within a governing physical framework defined by mass–density distribution, thermodynamics, and fluid mechanics. These constraints shape structural organization and functional stability, within which molecular processes are executed.

2. **Gene-Initiated, Mechanically Executed Morphogenesis**

Development is initiated by genetic and biochemical signals but structurally realized through mechanical deformation. Chromosomes and hormones regulate cellular properties (adhesion, proliferation, stiffness, motility), while morphology emerges through stress, strain, pressure gradients, viscoelastic flow, and boundary-constrained tissue rearrangement.

3. **Cluster–Shock–Threshold Transitions**

Major developmental events—fertilization, compaction, gastrulation, and neurulation—represent nonlinear, threshold-driven transitions in which gradual changes in system state led to abrupt structural reorganization, analogous in form to phase transitions in complex systems.

4. **Intrinsic Compression and Thermogenesis**

Embryonic development involves localized mechanical compression and continuous metabolic heat generation, producing internal gradients of pressure and temperature that influence morphogenesis. These processes are conceptually comparable—though not mechanistically equivalent—to compression-driven energy organization in physical systems.

5. **Germ Layers as Thermo-Functional Domains**

The endoderm, mesoderm, and ectoderm function as coordinated thermophysical domains: a metabolically active core, a convective transport layer, and a regulatory boundary interface, respectively. Together they integrate heat generation, transport, and control, with conceptual parallels to layered organization in geophysical systems.

6. **Fluid Systems as Mechanical Mediators**

Amniotic fluid, interstitial fluids, blood, and cerebrospinal fluid act as buoyancy regulators, pressure buffers, and force-distribution media. These systems couple metabolic activity with mechanical stability and enable coordinated morphogenesis through fluid–structure interaction.

7. **Stress-Field Organization in Development**

Embryogenesis is governed by organized stress fields comprising compressive, tensile, and shear components. These guide tissue deformation, folding, and growth, particularly during gastrulation, neurulation, and cranial development, with formal similarity to geophysical stress-field patterns.

8. **Granular-Like Collective Behaviour of Tissues**

Developing tissues behave as active, hydrated viscoelastic systems composed of interacting cellular units. These assemblies exhibit granular-like features, including packing-dependent stress redistribution, local fluctuations, dissipative interactions, and sensitivity to boundary conditions, while remaining biologically active and force-generating.

9. **Bilateral Symmetry as Flow-Mediated Organization**

Bilateral symmetry emerges during gastrulation through primitive streak formation, which establishes a midline via coordinated tissue flow and stress-field organization. Symmetry thus arises as a dynamic outcome of flow-mediated mass redistribution.

10. **Sex Differentiation as Mechanical Morphogenesis**

Sex differentiation is a gene-regulated but mechanically executed process. Chromosomal and hormonal signals modulate tissue properties, while structural divergence into male and female forms arises through differential growth, viscoelastic deformation, pressure gradients, and boundary-constrained morphogenesis.

11. **Microenvironmental Anchorage in Stem Cell**

Stem cell maintenance and differentiation depend on critical mass, density, and positional stability within the niche. Physical anchorage and confinement complement biochemical regulation, enabling sustained potency and controlled differentiation.

12. **Accretion and Compositional Evolution**

Development involves progressive accumulation and redistribution of biological mass, including cellular, fluid, and mineral components. This accretion enhances structural integrity, metabolic capacity, and thermodynamic efficiency under genetic regulation.

13. Thermal Gradients and System Integration

Core-to-periphery thermal gradients, generated by metabolic activity and maintained through fluid transport systems, contribute to biochemical regulation, transport efficiency, and system-wide integration.

14. Fluid–Thermal–Mechanical Coupling

Biological organization emerges from the coupling of fluid flow, thermal gradients, and mechanical forces, which together regulate morphogenesis, stability, and function across developmental stages.

15. Cross-Scale Organizational Continuity

Across biological, geophysical, and astrophysical systems, recurring patterns—clustering, stratification, flow, stress distribution, and energy transfer—suggest common organizational principles governing structured matter under physical constraints.

1. Introduction

Embryogenesis is one of the most complex and tightly regulated processes in biology, transforming a single fertilized cell into a structurally and functionally integrated organism. Classical frameworks have largely attributed this transformation to genetic regulation, molecular signalling pathways, and biochemical interactions (Gilbert, 2014; Alberts et al., 2015). While highly successful, these approaches do not fully account for the emergence of large-scale structural organization, mechanical coherence, and thermal stability that characterize developing systems.

Biological systems are inherently physical entities, composed of mass, occupying space, and operating under universal principles of energy conservation, thermodynamics, and transport (Schrödinger, 1944; Nelson, 2008). Accordingly, the embryo may be viewed not only as a genetic construct but also as a dynamic thermodynamic and mechanical system, in which mass distribution, density gradients, and fluid interactions contribute directly to morphogenesis over time. Increasing evidence from developmental biomechanics and systems biology highlights the role of mechanical forces, fluid flows, and environmental constraints in shaping biological form (Lecuit & Lenne, 2007; Mammoto & Ingber, 2010).

Importantly, mechanical forces are not independent causal agents but emergent expressions of underlying physical constraints acting on biological mass. Chromosomes and hormones do not directly shape tissue; rather, they regulate cellular properties such as adhesion, proliferation, stiffness, and motility. Morphological form is then physically executed through stress, strain, pressure gradients, viscoelastic flow, and boundary-constrained rearrangement of cellular assemblies. Embryogenesis may therefore be interpreted as a gene-initiated but mechanically executed process, in which tissues behave as active, fluid-saturated viscoelastic systems exhibiting granular-like collective interactions. In this context, intrinsic gravitation, thermal gradients, and fluid-mediated dynamics act as boundary conditions influencing structural organization, without implying dominance of gravitational forces at microscopic scales (Bhattacharjee, 1988, 2013, 2025; Rothleitner, 2021).

A defining feature of development is the occurrence of discrete transitions—fertilization activation, compaction, gastrulation, and neurulation—which involve rapid structural reorganization rather than gradual change. These events suggest that embryogenesis proceeds through threshold-driven processes, analogous in form to transitions observed in complex physical systems. In astrophysical contexts, clustering

followed by perturbation can trigger abrupt structural transformation, such as star formation induced by shock waves in molecular clouds (McKee & Ostriker, 2007). This motivates the formulation of a Cluster–Shock–Threshold model, in which key developmental stages are interpreted as nonlinear responses near critical states.

Cellular behaviour during development is also strongly influenced by the surrounding microenvironment. Stem cells require specific niche conditions to maintain potency and enable differentiation (Scadden, 2006). Across biological systems, experimental observations indicate that minimum mass, density, and structural support are essential for sustained viability and growth, suggesting a physical requirement for stable mass–energy configuration or anchorage.

Embryogenesis is further accompanied by progressive compositional changes, including incorporation of structurally and thermally significant components such as calcium–phosphate matrices, iron-containing systems, and fluid networks. These changes enhance the capacity for heat generation, retention, and transport, contributing to systemic thermoregulation (Guyton & Hall, 2021). Conceptually, such processes resemble accretion-like transformations, in which increasing mass and density drive structural and energetic organization (Larson, 2003).

In this context, the present study advances an intrinsic gravito–thermo–biophysical framework that integrates physical principles with biological processes to provide a unified interpretation of embryogenesis. The framework emphasizes mass–energy interactions and thermodynamic constraints, cluster–shock–threshold transitions, germ layers as thermophysical domains, microenvironmental anchorage in stem cell systems, and accretion-driven compositional evolution. By situating biological development within a broader physical context, this approach complements existing molecular paradigms and offers an integrative perspective on the organization of living systems.

Embryonic tissues are thus modelled as active, hydrated viscoelastic continua composed of interacting cellular units that exhibit granular-like stress transmission under crowding. At mesoscopic scales, force-chain–like pathways, arching, and density-dependent jamming may emerge from cell–cell interactions, adhesion, and cytoskeletal tension, superimposed on continuum fields of pressure, velocity, and stress (Bhattacharjee, 2025). This formulation provides a physically grounded yet biologically consistent framework for understanding morphogenesis as a coordinated process of mass, energy, and structural organization.

2. Fertilization: Initiation of a Polarized Mass–Energy System

Fertilization represents the first decisive transition in human embryogenesis, transforming two independent gametes into a single, polarized mass–energy system. Beyond genetic fusion, this process involves rapid reorganization of ionic gradients, cytoplasmic architecture, metabolic flux, and energy distribution (Gilbert, 2016; Alberts et al., 2022). More broadly, embryogenesis may be viewed as a sequence of structurally coordinated transformations executed through viscoelastic deformation of interacting cellular units within a mechanically coupled, fluid-supported, and dissipative biological medium.

Although classical point-mass estimates suggest negligible gravitational effects at the cellular scale, such simplifications do not fully capture the behaviour of dense, structured, fluid-supported biological matter.

Functional organization arises through collective mass distribution, pressure fields, and fluid-mediated interactions across multiple scales—microscopic (ionic flux and molecular interactions), mesoscopic (mass clustering, pressure distribution, and symmetry formation), and macroscopic (body axes and organ systems). Within this context, sperm convergence toward the oocyte may be interpreted as a field-guided process governed by chemical gradients, thermal differentials, and fluid dynamics, with formal analogy to accretion-like organization, while remaining mechanistically biochemical.

Fertilization is characterized by pronounced gamete asymmetry. The oocyte (~100–120 μm) provides cytoplasm, organelles, and metabolic reserves, whereas the sperm contributes condensed DNA and a centriole (Schatten, 2018). This establishes an initial mass–energy gradient in which the oocyte functions as a thermodynamic reservoir and the sperm provides kinetic activation, with fusion redistributing energy within a unified cytoplasmic field. This reorganization leads to establishment of the animal–vegetal axis, marking the earliest spatial polarity (Gilbert, 2016).

A defining feature of fertilization is the rapid Ca^{2+} wave that propagates across the ooplasm, triggering cortical granule exocytosis, resumption of meiosis, and metabolic activation (Stricker, 1999; Whitaker, 2006). This event represents the first endogenous “shock” within the cluster–shock–threshold framework, driving the transition from a metastable oocyte to an active zygote. The Ca^{2+} surge enhances mitochondrial activity and ATP turnover, with localized microthermal gradients likely influencing cytoskeletal dynamics and intracellular transport despite minimal bulk temperature change (Lane & Gardner, 2005).

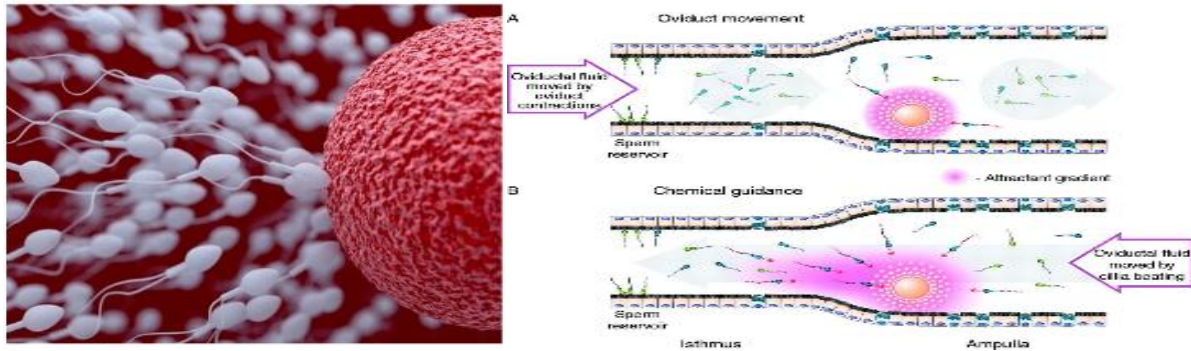
Concurrently, cytoskeletal reorganization through actin polymerization and microtubule assembly enables pronuclear migration and alignment under the guidance of the sperm-derived centriole (Schatten, 2018). This establishes a mechanically integrated and polarized system, in which the cytoskeleton functions as a distributed force network coordinating intracellular transport and structural stability. Mitochondrial activation further increases oxidative phosphorylation and proton flux, with partial dissipation of energy as heat, generating a subtle core–periphery thermal gradient centered on metabolically active regions (Brand, 2000; Lane & Gardner, 2005).

Within the cluster–shock–threshold framework, fertilization may be summarized as a nonlinear transition: molecular organization within gametes (cluster) is perturbed by ionic flux and Ca^{2+} signalling (shock), crosses a threshold of activation, and stabilizes as a polarized, metabolically active zygote (new state), consistent with general principles of complex system transitions (Kauffman, 1993).

Analogies with astrophysical systems—such as clustering, threshold activation, and emergence of core–periphery gradients—remain conceptual and are used here to highlight shared organizational patterns rather than mechanistic equivalence. Biological processes are fundamentally governed by biochemical and electrochemical interactions operating at distinct spatial and temporal scales.

In summary, fertilization establishes the foundational embryonic state through gamete asymmetry, Ca^{2+} -mediated activation, cytoskeletal integration, mitochondrial thermogenesis, and emergence of microthermal gradients. This polarized mass–energy system provides the basis for subsequent developmental transitions, including cleavage, compaction, and gastrulation, within a progressively organized thermodynamic and mechanical framework.

Fertilization: Initiation of a Polarized Mass–Energy System



3. Compaction: Emergence of Cohesive Multicellular Mechanics

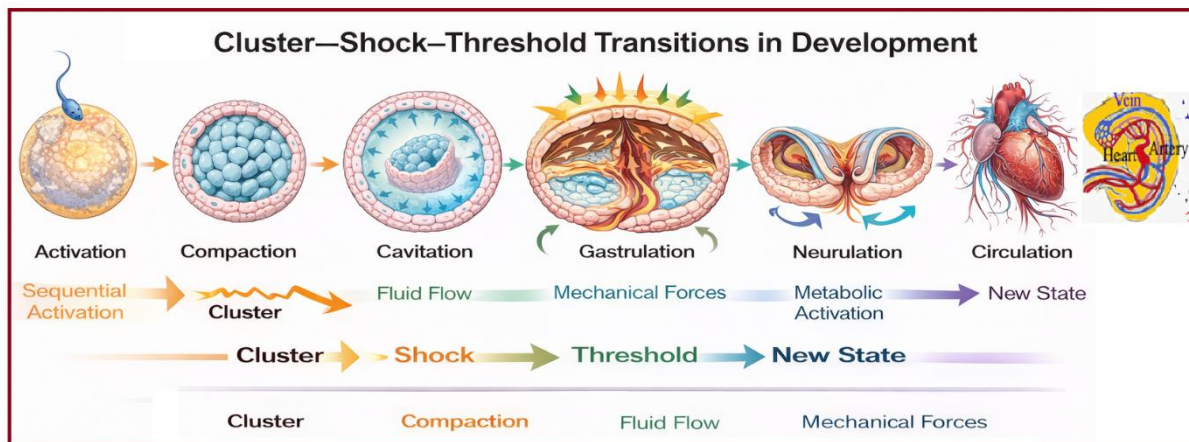
Compaction represents a critical transition in early embryogenesis, transforming a loosely associated cluster of blastomeres into a mechanically integrated and polarized multicellular system. Occurring at the 8–16 cell stage, it marks the first emergence of collective behaviour, in which cells function as a unified entity rather than as independent units (Johnson & Ziomek, 1981; Fleming et al., 2001).

As cell number increases within a confined volume, intercellular space diminishes and mechanical interactions intensify. At a critical threshold, coordinated flattening and adhesion—mediated primarily by E-cadherin—establish strong intercellular connectivity and generate a continuous epithelial-like surface (Takeichi, 1991). This transition from low to high connectivity resembles a percolation-like shift toward global coherence (Newman, 2010). Blastomeres may thus be viewed as discrete interacting units within a densely packed assembly, where collective behaviour emerges through adhesion, compression, and constrained motion. While not a classical granular system, the configuration exhibits granular-like features, including packing-dependent stress redistribution, localized fluctuations, and dissipation through intercellular interactions.

Concomitantly, compaction establishes a force-coupled network driven by actomyosin contractility, cortical tension, and cytoskeletal alignment. Mechanical stresses become distributed across the cell population, enabling coordinated shape change, efficient force transmission, and structural stabilization required for subsequent morphogenetic processes. Spatial differentiation also emerges at this stage: outer cells acquire apical–basal polarity and form an epithelial layer, whereas inner cells remain non-polarized, constituting the inner cell mass (ICM) (Fleming et al., 2001). This core–periphery organization is associated with gradients in mechanical stress, metabolic activity, and environmental exposure, providing a structural basis for later lineage specification.

Increased interaction density and cytoskeletal activity elevate energy turnover and internal dissipation, shifting regulation from externally dominated to internally coordinated dynamics. Although bulk temperature changes remain small, microthermal gradients are likely enhanced between inner and outer cell populations, reflecting localized metabolic heterogeneity. Within the cluster–shock–threshold framework, compaction can be interpreted as a system-wide transition: a dense blastomere cluster undergoes a rapid increase in adhesion and contractility, crosses a threshold of mechanical coherence, and stabilizes as a polarized morula, analogous in form to phase-like transitions in complex systems (Kauffman, 1993).

Geometric confinement by the zona pellucida further reinforces this transition by limiting expansion, amplifying internal pressure, and promoting efficient packing and symmetry emergence. This boundary constraint facilitates energy minimization and structural optimization, preparing the embryo for subsequent fluid-mediated reorganization during cavitation.



Overall, compaction constitutes the first major system-level reorganization in embryogenesis, characterized by mechanical integration, polarity establishment, stress redistribution, and increased internal dissipation. It provides the essential structural and thermodynamic foundation for later developmental processes, including cavitation and gastrulation.

4. Cavitation: Emergence of Fluid Architecture and Buoyancy-Mediated Organization

Cavitation (blastocoel formation) represents a major transition in embryogenesis, in which the compacted morula reorganizes into a fluid-filled blastocyst with distinct cellular domains. This stage marks the onset of fluid-mediated mechanics, where hydrostatic pressure, osmotic gradients, and transport processes play central roles in structural organization (Watson & Barcroft, 2001; Biggers et al., 2008).

Following compaction, outer cells differentiate into a sealed trophectoderm epithelium with tight junctions, establishing a boundary that enables controlled fluid accumulation. Active Na^+ transport generates osmotic gradients that drive water influx through aquaporins, leading to blastocoel formation. The embryo thus transitions from a densely packed cellular aggregate to a fluid-structured system, with clear spatial segregation between the inner cell mass (ICM) and the surrounding epithelium.

Fluid accumulation within the blastocoel generates hydrostatic pressure, producing outward forces that stretch the trophectoderm and stabilize the spherical geometry of the blastocyst. This marks a shift from adhesion- and cytoskeleton-dominated mechanics toward pressure-driven regulation, where fluid-mediated forces redistribute stress across the cellular shell, reduce localized mechanical load, and enable controlled expansion. In this context, cavity expansion may be conceptually interpreted through an extended Rayleigh–Plesset framework, in which the blastocoel behaves as a pressure-driven cavity embedded within a dense, hydrated, viscoelastic cellular medium. Unlike classical fluids, the surrounding tissue exhibits resistance arising from cell packing, adhesion, cortical tension, and dissipative rearrangements.

A generalized description of cavity dynamics may be expressed as:

$$\rho \left(R\ddot{R} + \frac{3}{2}\dot{R}^2 \right) = P_c(t) - P_\infty(t) - \frac{2\sigma}{R} - P_g(R, \dot{R}) - P_s(R) - P_d(R, \dot{R})$$

where R is the cavity radius, ρ the effective fluid density, $P_c(t)$ the osmotically generated internal pressure, $P_\infty(t)$ the external tissue pressure, and σ the effective interfacial tension. The additional terms represent resistance arising from the biological medium: P_g reflects packing or granular-like resistance due to cell density, P_s accounts for shear and frictional interactions at the cavity boundary, and P_d captures dissipative effects associated with cell rearrangement, viscoelastic damping, and adhesion remodelling.

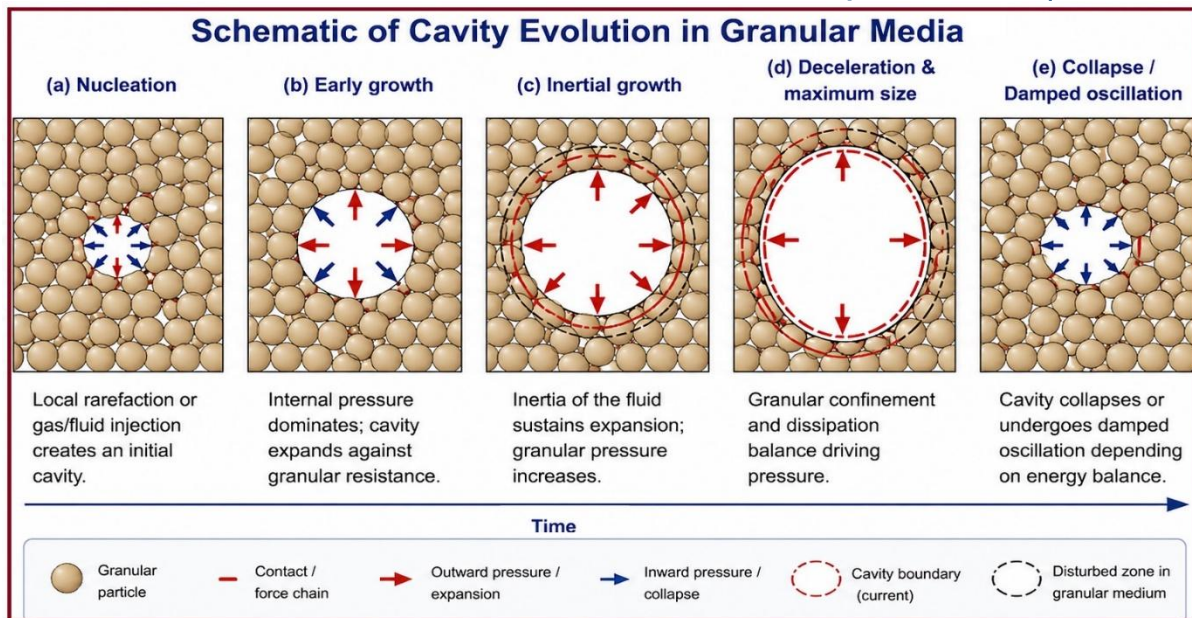
Within this framework, blastocoel formation proceeds through successive phases analogous to cavity evolution in complex media: nucleation of a fluid space, early pressure-driven expansion, flow-assisted growth, deceleration under tissue confinement, and eventual stabilization. While embryonic tissues are not classical granular materials, their dense packing and interaction dynamics give rise to features such as stress redistribution, constraint-dependent deformation, and dissipative behaviour, which influence cavity expansion.

Thermodynamically, cavitation reflects coordinated redistribution of water and solutes into a confined internal phase, driven by ion transport (Na^+/K^+ ATPase), osmotic influx, and epithelial sealing, with associated increases in interaction density and metabolic turnover (Lane & Gardner, 2005). A key outcome is the emergence of a fluid-supported mechanical state, in which blastocoelic fluid—enriched with electrolytes and metabolites—approaches density equilibrium with surrounding cells. This near-neutral buoyancy reduces effective load, redistributes stresses, and stabilizes spatial organization of the ICM and trophectoderm.

Cavitation also establishes coupling between metabolism, fluid dynamics, and structure. Osmotic gradients, diffusion, and cellular activity generate proto-convective behaviour, while even small thermal variations influence viscosity, membrane permeability, and transport rates, linking thermogenesis with fluid redistribution. The embryo thus behaves as a multiphase viscoelastic system in which forces are transmitted through both cellular and fluid components.

Within the cluster–shock–threshold framework, cavitation represents a nonlinear transition: the compacted morula (cluster) undergoes activation of ion transport and osmotic influx (shock), crosses a threshold leading to cavity formation, and stabilizes as a fluid-structured blastocyst (new state), consistent with phase-like transitions in complex systems (Kauffman, 1993). Analogies with pressure-driven cavity formation in physical systems are conceptually informative, although biological processes remain actively driven by metabolic energy and regulated transport rather than passive physical mechanisms.

In summary, cavitation constitutes a decisive reorganization characterized by formation of an internal fluid compartment, emergence of pressure-driven cavity dynamics, buoyancy-mediated stabilization, and thermal–fluid coupling. This transformation converts the embryo into a multiphase thermodynamic system, providing the mechanical and energetic basis for large-scale morphogenetic movements during gastrulation.



5. Gastrulation: Flow-Driven Reorganization and Axis Formation

Gastrulation represents a decisive transition in embryogenesis, transforming the blastocyst into a multilayered structure with defined body axes and internal organization. This stage involves coordinated cell migration, deformation, and rearrangement, converting a relatively static system into a dynamic, flow-regulated state (Gilbert, 2016; Solnica-Krezel & Sepich, 2012). Within the gravito–thermo–biophysical framework, gastrulation is best understood as a flow-dominated reorganization, in which genetically regulated processes are physically executed through viscoelastic deformation, shear stress, pressure gradients, and density-dependent instabilities within a mechanically coupled cellular medium. Cells thus behave collectively as an active material system, where motion arises from internal force generation, boundary constraints, and dissipative interactions.

Following cavitation, the embryo transitions from hydrostatic equilibrium to large-scale coordinated cell movements, including ingression, involution, and epiboly. Cellular assemblies behave as a viscoelastic continuum in which motion is governed by force gradients and mechanical coupling rather than purely positional cues. Subtle rotational or circulatory flows may emerge around the developing axis, accompanied by bulging and cavity modulation, while intracellular components tend to organize along emerging density gradients, reflecting coupled mechanical and metabolic organization.

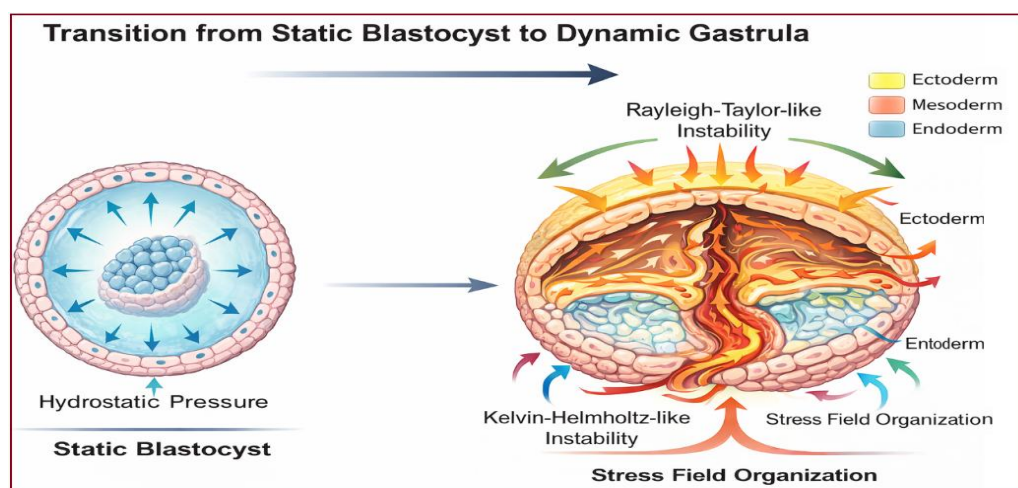
Intrusion (ingression) and extrusion processes can be interpreted as localized rearrangements within a densely packed, active cellular medium, where stress is transmitted through heterogeneous, contact-dependent pathways rather than uniformly. When localized forces arise from proliferation, apical constriction, or adhesion changes, stress propagates anisotropically along preferred paths defined by cell–cell contacts and packing density. This produces nonlinear deformation patterns, with high-stress regions driving inward movement of cells and adjacent regions accommodating displacement through lateral flow or extrusion. While analogous to force-chain–mediated load transfer in granular systems, these processes occur within an active, fluid-coupled, and continuously remodelling biological environment.

Gastrulation is further characterized by shear- and density-driven instabilities. Relative motion between adjacent cell layers generates velocity gradients and shear stresses, promoting interface deformation, cell intercalation, and directional elongation. Concurrently, effective density differences—arising from cytoplasmic composition, adhesion, and mechanical stiffness—drive vertical rearrangements, leading to internalization of mesoderm and endoderm and the external positioning of ectoderm. These processes collectively produce large-scale structural reorganization within a viscoelastic, dissipative system exhibiting granular-like collective dynamics.

The primitive streak functions as a central organizing axis where mechanical stresses converge and metabolic activity intensifies, acting as a dissipative structure that concentrates force, energy, and signalling to drive coordinated morphogenesis. This is accompanied by continuous coupling between mechanical work, metabolic heat generation, and fluid transport, where localized thermal variations influence enzyme activity, membrane properties, and cellular dynamics.

The emergence of germ layers reflects a functional thermophysical organization, with the endoderm forming a metabolically active internal domain, the mesoderm acting as a mechanically dynamic and transport-oriented layer, and the ectoderm serving as a boundary interface for dissipation and signalling. Within the cluster–shock–threshold framework, gastrulation represents a major irreversible transition: the blastocyst (cluster) undergoes coordinated mechanical and biochemical perturbation (shock), crosses a threshold marked by breakdown of radial symmetry, and stabilizes as a multilayered embryo with defined axes (new state), consistent with nonlinear transitions in complex systems (Kauffman, 1993).

Conceptual parallels may be drawn with geophysical and astrophysical systems, including shear-driven flows, density stratification, and stress-field organization. While mechanistically distinct, such analogies highlight shared principles of flow-mediated reorganization and layered structure formation across scales. In summary, gastrulation constitutes a fundamental reorganization characterized by transition to flow-dominated morphogenesis, shear- and density-driven tissue rearrangements, stress concentration along the primitive streak, and thermophysical stratification into germ layers. This stage establishes the body plan and represents the most critical system-level transition in embryogenesis.



6. Neurulation: Folding Dynamics and Emergent Neural Architecture

Neurulation transforms the planar neural plate into a three-dimensional neural tube, establishing the structural foundation of the central nervous system. This transition is governed by coordinated cytoskeletal forces, organized stress fields, and dynamic cellular interactions, with thermal effects acting as modulatory regulators (Gilbert, 2016; Copp et al., 2013).

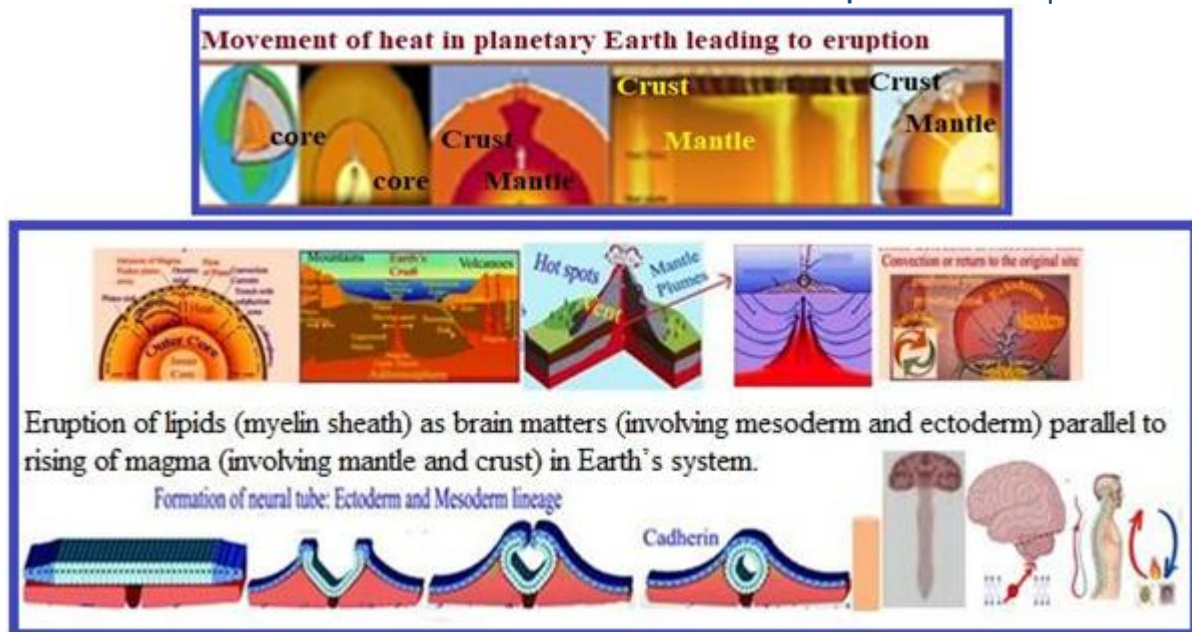
The process involves a progression from a tension-balanced epithelial sheet to a curvature-driven structure. Apical constriction, hinge-point bending, and fold convergence—mediated by actomyosin contractility—generate localized deformation, ultimately leading to neural tube closure. This transformation reflects a shift from planar stability to geometrically constrained folding driven by spatially organized mechanical forces. Folding is orchestrated through distributed stress patterns, including compression at hinge regions, tension along lateral folds, and shear during convergence. These coordinated stress fields produce controlled deformation and curvature, guiding closure of the neural tube. Such organization resembles, in pattern, stress-field-mediated deformation in physical systems, although biological processes remain actively regulated by cellular and molecular mechanisms. Neural folding may thus be interpreted as a mechanically coordinated deformation field arising from localized contractility, differential stiffness, and boundary constraints.

Metabolic activity and cytoskeletal dynamics generate localized heat, introducing microthermal gradients that influence enzyme kinetics, membrane fluidity, and cytoskeletal behaviour. Although modest in magnitude, these gradients modulate regional contraction and tissue stiffness, acting as secondary regulators of morphogenesis. Neural tissues also exhibit intrinsic thermal sensitivity, where small temperature variations affect ion channel kinetics, excitability, and developmental timing (Hille, 2001; Hodgkin & Katz, 1949). Early expression of thermo-sensitive channels, including members of the TRP family, further indicates that neural systems are responsive to thermal cues from early stages (Clapham, 2003).

Closure of the neural tube encloses a fluid-filled lumen, initiating early cerebrospinal fluid (CSF) dynamics and extending the fluid-mediated regime established during cavitation. This introduces coupling between tissue deformation, fluid redistribution, and ionic transport, integrating mechanical and fluid processes within the developing neural system.

Neurulation proceeds through nonlinear transitions in which gradual accumulation of stress leads to rapid folding and closure, representing threshold events characterized by localized stress release and structural reconfiguration. Within the cluster–shock–threshold framework, organized germ layers (cluster) undergo localized folding initiation (shock), cross a critical threshold during rapid neural fold closure, and stabilize as an enclosed neural tube with functional potential (new state), consistent with nonlinear transitions in complex systems.

Failure of this process results in neural tube defects, underscoring its developmental significance.



Overall, neurulation represents a highly coordinated integration of mechanical, thermal, biochemical, and fluidic processes. It is characterized by stress-field-driven folding, thermal modulation of cellular dynamics, emergence of fluid-tissue coupling, and the onset of thermo-sensitive neural function, marking the transition from structural organization to functionally responsive architecture.

7. Stress-Field Organization: Tectonic Analogies and Cranial Sutures

The mechanical behaviour of cranial sutures during brain growth can be interpreted through analogy with stress-field organization in tectonic plate systems (Turcotte & Schubert, 2014; Stein & Wysession, 2003). In the Earth's crust, convergent (compressional), divergent (extensional), and transform (shear) interactions generate structured stress distributions, often represented by focal mechanism ("beachball") diagrams that map zones of compression and tension (Aki & Richards, 2002).

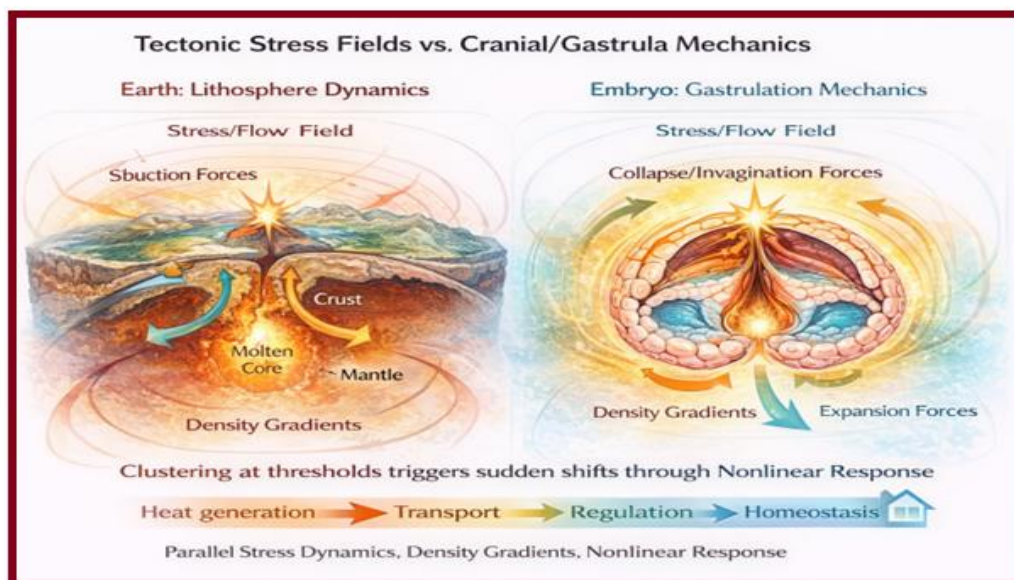
A comparable pattern emerges during cranial development. Expansion of the growing brain within the cranial vault generates tensile forces along sutural margins, compressive interactions at bone interfaces, and shear components arising from differential growth. These forces produce distributed stress fields that regulate deformation and skull expansion, with cranial sutures functioning as dynamic growth interfaces rather than rigid joints (Herring, 2008; Opperman, 2000).

At a conceptual level, cranial organization may be related to tectonic systems: cranial bones correspond to lithospheric plates, sutures to plate boundaries, and brain expansion—with fluid support—to an internal driving process analogous in pattern to mantle dynamics. The resulting stress distribution within the skull can thus be viewed as a biologically regulated analogue of tectonic stress fields.

Unlike geophysical systems, however, cranial mechanics are strongly influenced by fluid-mediated processes. Cerebrospinal fluid (CSF), vascular pulsations, and metabolic expansion of neural tissue contribute to hydrostatic buffering, pressure equalization, and force redistribution, coupling brain growth to skull deformation (Sakka et al., 2011; Orešković & Klarica, 2010). This establishes a fluid-assisted system in which internal expansion generates pressure gradients transmitted to sutures, resulting in controlled

displacement and growth. Such behaviour is consistent with poroelastic and viscoelastic models, where fluid flow and solid deformation are interdependent (Fung, 1993; Cowin, 2001).

The tectonic–cranial analogy is therefore valid at the level of organizational principles, including distributed stress fields, boundary-mediated deformation, and coupled force–structure interactions (Turcotte & Schubert, 2014; Aki & Richards, 2002; Fung, 1993). However, key distinctions remain: biological tissues are viscoelastic, actively remodelling, and regulated by mechanotransduction and cellular signalling, with energy supplied metabolically rather than through purely physical processes (Herring, 2008; Ingber, 2006). Accordingly, cranial sutures may be understood as a biologically regulated, fluid-assisted stress-field system—analogue in pattern to tectonic plate boundaries, yet fundamentally distinct in mechanism and scale.



8. Accretion Mass Model: Developmental Composition and System Integration

Biological development extends beyond genetic regulation to include progressive mass accretion and compositional reorganization, in which physical properties evolve alongside function. Within the gravito–thermo–biophysical framework, embryogenesis may be interpreted as an accretion-driven transformation, conceptually analogous to aggregation processes in physical systems, while remaining under biological control (Larson, 2003; McKee & Ostriker, 2007; Bhattacharjee, 2025).

The zygote represents a minimal mass–energy unit composed of cytoplasm, ionic gradients, and mitochondrial systems (Gilbert, 2014; Carlson, 2019). During early cleavage, cell number increases without net mass gain, enhancing surface interfaces and intercellular interactions (Alberts et al., 2015). By the morula stage, compaction generates a cohesive multicellular aggregate, marking the transition from isolated cellular units to an integrated system (Fleming et al., 2001; Mammoto & Ingber, 2010).

Cavitation introduces fluid–mass structuring, forming the blastocoel cavity, trophoblast shell, and inner cell mass (Rossant & Tam, 2009). Ion-driven fluid accumulation generates hydrostatic pressure and enables structural expansion and domain separation (Watson & Barcroft, 2001). This stage reflects a phase-like segregation in which fluid–cell interactions establish buoyancy, pressure buffering, and internal gradients essential for further development (Bedzhov & Zernicka-Goetz, 2014; Brangwynne et al., 2009).

Gastrulation further reorganizes the embryo into endoderm, mesoderm, and ectoderm through coordinated migration and mechanical forces (Tam & Loebel, 2007; Solnica-Krezel & Sepich, 2012). These layers assume distinct thermophysical roles: the endoderm as a metabolically active internal domain, the mesoderm as a mechanically dynamic and transport-oriented layer, and the ectoderm as a boundary interface for dissipation and signalling. This stratification parallels density-driven layering in geophysical systems and establishes gradients in heat flow, mechanics, and transport, enabling integrated regulation and functional specialization (Turcotte & Schubert, 2014).

As development progresses, compositional accretion incorporates materials of increasing thermophysical significance, including calcium, phosphorus, magnesium, and iron (Guyton & Hall, 2021). Iron supports respiration and oxygen transport (Andrews, 2000), while calcium–phosphate contributes to structural rigidity and thermal storage capacity (Currey, 2002). These changes enhance the organism's ability to generate, retain, and redistribute thermal energy.

The onset of circulation marks a major transition from diffusion-dominated to convective transport, enabling efficient distribution of heat, nutrients, gases, and metabolic products (Poelmann & Gittenberger-de Groot, 2019). Arterial flow transports heat from metabolically active core regions, while venous return reflects peripheral cooling, establishing systemic thermal gradients (Guyton & Hall, 2021). Fluid flow also introduces shear and pressure gradients that influence tissue organization (Hove et al., 2003).

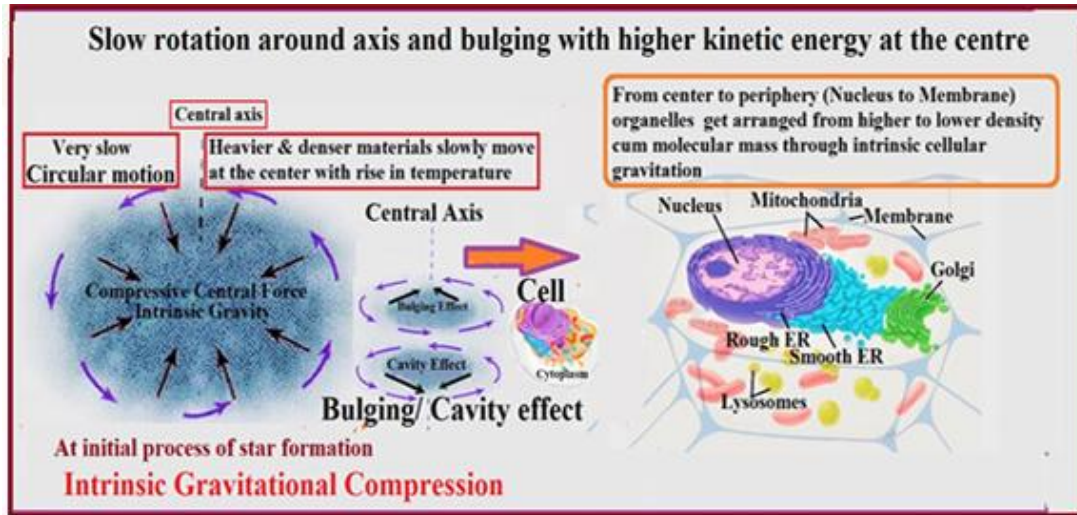
At the system level, transport processes operate across coupled compartments—blood plasma (convective domain), interstitial fluid (diffusion buffer), and intracellular space (metabolic domain). Organ interfaces, including lungs, gastrointestinal tract, and kidneys, regulate exchange, enabling coordinated input–output balance. This multiscale integration links convection, diffusion, and cellular metabolism, providing a physiological basis for thermodynamic gradients, mass transport, and system-wide organization.

By fetal stages, the organism develops into a fully integrated thermophysical system comprising structural tissues, fluid networks, and metabolically active organs. Solid components act as thermal reservoirs, fluids facilitate heat redistribution, and metabolic processes sustain continuous heat generation (Blatteis, 2012). Specialized tissues such as brown adipose tissue further enhance thermogenic capacity (Cannon & Nedergaard, 2004).

The accretion mass model may thus be summarized as a progression from mass aggregation through compositional differentiation and thermophysical specialization to transport integration. This sequence parallels accretion-like organization in physical systems while remaining under genetic and biochemical regulation (Larson, 2003; McKee & Ostriker, 2007; Gilbert, 2014).

This framework provides integrative insight into embryogenesis as a process of mass–energy reorganization, into cancer as a state of high-density, poorly dissipative growth (Hanahan, 2022), and into regenerative biology as a niche-dependent organizational process (Scadden, 2006). Biological systems may therefore be viewed as regulated thermodynamic assemblies in which mass, energy, and structure co-evolve within coordinated physical and biological constraints.

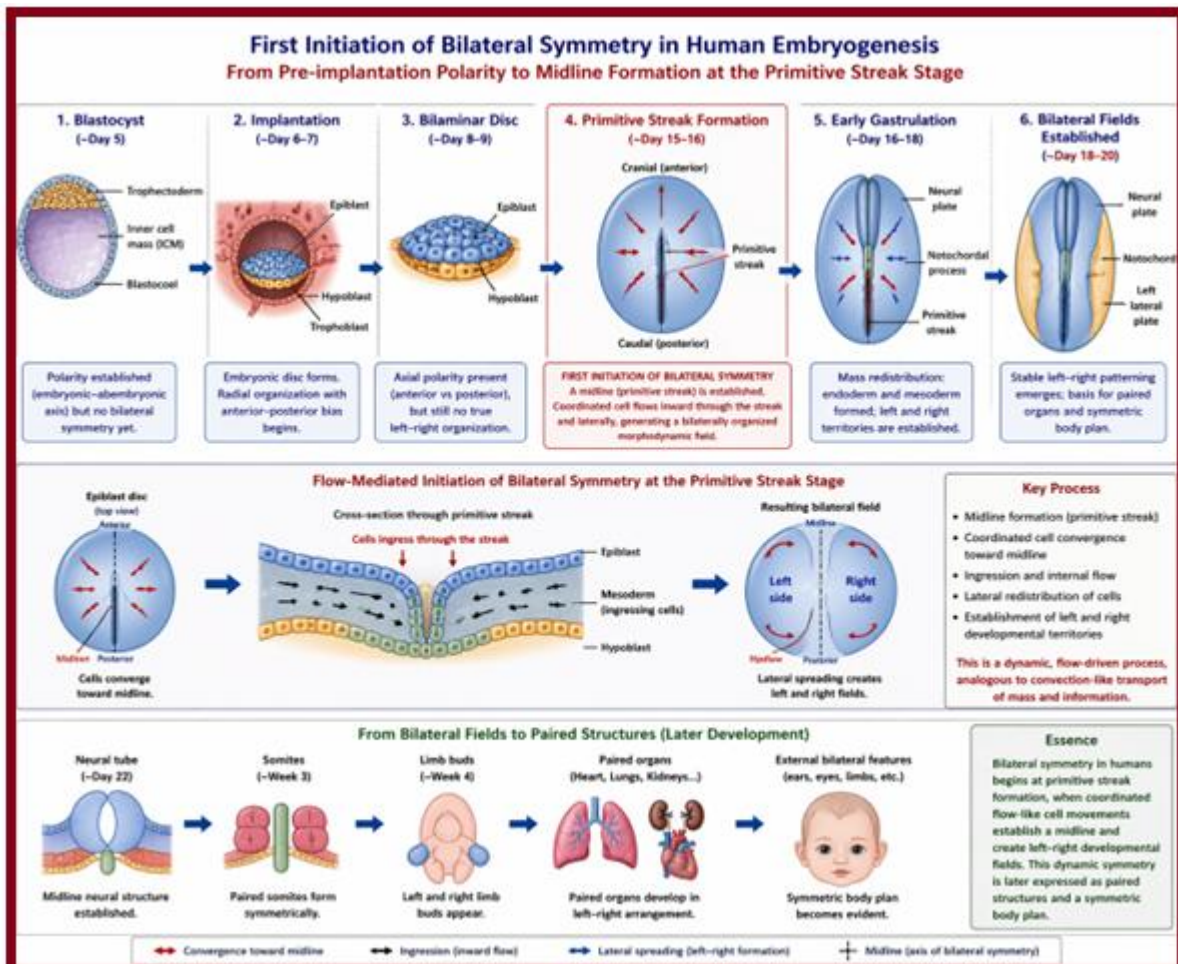
Transition to Flow-Dominated Morphogenesis



9. Accretion mass vs fluid (child → adult → aged)

Buoyancy effect of fluids is known for its estrangement mechanism. Without mass a living object cannot exist. Accretion of new mass is a continuous process in living system. But physical constraints on mass or compression of intrinsic gravitation attempt to level the accretion of mass. More the mass accumulation, higher and faster the application of physical constraints. Developmental progression from infancy to aging reflects a shift from fluid-dominated to mass-accreted states, influencing mobility, thermodynamics, and mechanical responsiveness.

10. Bilateral symmetry (paired organs, secondary sexual traits)



Bilateral symmetry emerges during primitive streak formation as a mechanically organized bifurcation within a dense, actively driven cellular system. Under sustained internal driving (cell migration, proliferation, contractility), the tissue behaves as an intermediate-density granular–viscoelastic medium, where stress is transmitted along force-chain-like pathways; shear localizes into bands (primitive streak region) and flow organizes around a mechanically stabilized midline. The primitive streak can therefore be interpreted as a shear localization zone, a stress-dissipation axis and a flow-separation boundary. This leads to left–right domain separation via flow bifurcation, symmetric redistribution of mass around the midline and emergence of bilateral organization as a mechanically selected state. Bilateral symmetry arises from shear localization and force-chain–guided flow bifurcation in a dense cellular medium.

11. Sex Differentiation: Mechanically Executed Morphogenesis

Sex differentiation may be viewed as a gene-regulated but mechanically executed morphogenetic process, in which chromosomal constitution (XX or XY) establishes regulatory pathways while structural divergence arises through physically mediated tissue deformation. Hormonal signals do not directly generate form; rather, they modulate cellular properties—including proliferation, adhesion, stiffness, extracellular matrix production, and fluid transport—thereby altering the mechanical state of developing tissues.

Embryonic tissues behave as active, hydrated viscoelastic assemblies of interacting cellular units, exhibiting collective motion, dissipative interactions, and sensitivity to boundary conditions, with some analogy to granular systems. During differentiation, localized growth and remodelling generate spatially heterogeneous stress fields, where deformation is governed by both continuous gradients and discrete contact-dependent interactions within densely packed tissues. Variations in proliferation, matrix composition, and mechanical stiffness can shift the system between flow-dominated and constraint-dominated states, producing organized divergence of male and female morphologies.

Within this framework, sex differentiation represents a transition in mechanical state, wherein biochemical regulation is translated into viscoelastic morphogenesis through controlled tissue-level deformation.

12. Stem Cells: Microenvironmental Regulation and Physical Anchorage

Stem cells do not function as isolated units; their survival, potency, and differentiation depend critically on the surrounding microenvironment, or niche (Scadden, 2006; Morrison & Spradling, 2008). Within the gravito–thermo–biophysical framework, this niche may be interpreted as a stabilizing system in which mass distribution, density, mechanical confinement, and fluid surroundings collectively maintain a steady-state condition for biological function.

Across biological systems, a minimum biomass or cell density is required for viability. Plant tissue cultures typically require callus masses on the order of hundreds of milligrams, while mammalian systems require cell densities of $\sim 10^5$ – 10^7 cells/mL. These observations reflect a fundamental principle: isolated cells lack sufficient structural and metabolic stability to sustain signalling gradients and coordinated function. A critical level of aggregation therefore provides effective anchorage, enabling maintenance of organization and continuity of biological processes (Bhattacharjee, 2013).

This concept is further supported by experimental models in which multicellularity emerges through clustering, such as yeast “snowflake” forms, demonstrating that increasing mass and density can drive stable organization as a threshold phenomenon (Ratcliff et al., 2012, 2015). Thus, multicellularity may be viewed as both a genetic and a physically constrained transition, consistent with accretion-like organization.

Within the embryo, pluripotent stem cells arise in the inner cell mass (ICM), a high-density central domain characterized by mechanical confinement and relative symmetry (Discher et al., 2009). This central positioning supports maintenance of pluripotency under constrained conditions, whereas differentiation is associated with outward movement into regions of greater gradient exposure and mechanical asymmetry. Potency may therefore be interpreted as a constrained equilibrium state, while differentiation reflects release into dynamically varying environments.

At the cellular level, internal organization also exhibits mass–density structuring, with macromolecular components arranged in a manner that supports metabolic efficiency and dynamic stability. Energy-producing organelles are distributed to facilitate effective energy transfer and intracellular transport, maintaining functional equilibrium within the cell.

The stem cell niche further includes a supportive infrastructure composed of extracellular matrix, interstitial fluids, and culture media, which provides mechanical support, stress buffering, and regulated exchange. This environment contributes to stability by reducing effective mechanical load and maintaining controlled conditions for cellular function, analogous to scaffolded or fluid-supported systems in experimental and physiological contexts.

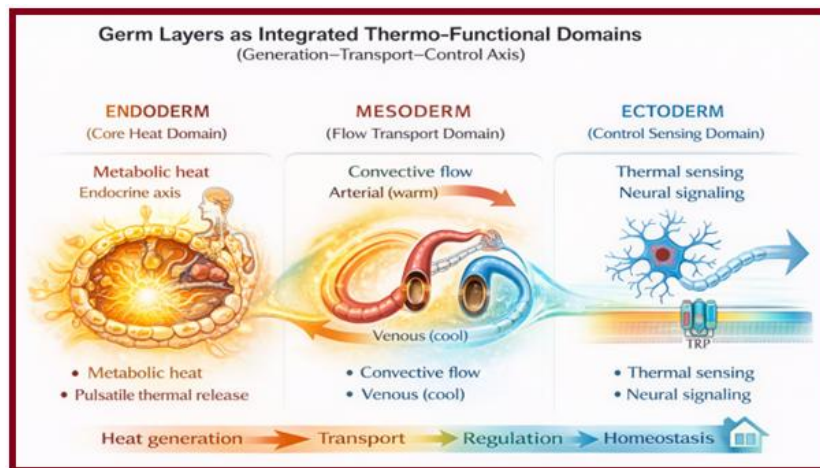
Fluid environments play an additional role by enabling near-neutral buoyancy and facilitating force redistribution, thereby stabilizing cellular assemblies and allowing controlled reorganization. Such fluid-mediated effects operate across scales, linking stem cell behaviour to broader principles of mechanical equilibrium and transport.

Overall, stem cell systems follow a general pattern in which aggregation and stabilization are followed by threshold-dependent transitions leading to differentiation. Within this framework, stem cells represent metastable, physically constrained states governed by mass distribution, mechanical anchorage, and energy gradients. Potency corresponds to a centrally stabilized condition, while differentiation emerges as constraints are modulated, permitting asymmetry, motion, and functional specialization.

13. Germ Layers as Thermo-Functional Domains

Embryonic germ layers may be interpreted as coordinated thermophysical domains that collectively govern heat generation, transport, and regulatory control during development. The endoderm gives rise to metabolically active and endocrine-associated organs, functioning as a central domain for energy production and systemic regulation (Gilbert, 2014; Guyton & Hall, 2021). The mesoderm forms circulatory and structural systems, acting as a convective network that redistributes heat and mass through fluid flow and vascular dynamics (Mammoto & Ingber, 2010). The ectoderm develops into neural and integumentary systems, serving as a sensory–regulatory interface that mediates environmental interaction and thermoregulatory feedback (Kandel et al., 2021).

Together, these layers establish an integrated system linking energy generation, transport, and control. While mechanistically distinct, their coordinated organization reflects a general principle of coupled heat production, distribution, and feedback regulation in complex biological systems.



14. Unified Gravitational-Thermo-Biophysical Framework

Biological organization may be understood as a multi-scale integration of physical and biochemical processes, in which mass, energy, structure, and function co-evolve under constrained conditions. The intrinsic gravito-thermo-biophysical framework proposed here complements molecular biology by introducing a governing physical layer defined by mass-density distribution, thermal gradients, fluid-mediated dynamics, and stress-field organization. Within this context, genes operate within a pre-structured physical environment that shapes and stabilizes biological form.

Across systems—from astrophysical to biological—common organizing principles are evident, including aggregation into stable configurations, emergence of internal gradients (thermal, mechanical, and chemical), progression through threshold states, and formation of structured functional domains. While gravitational compression and thermal pressure dominate in astrophysical systems, analogous patterns in biology arise through mass-dependent organization, metabolic heat generation, and fluid-mediated transport integrated with genetic regulation.

A central feature of this framework is the progression: Clustering → Perturbation (Shock) → Threshold Crossing → Structural Emergence. In embryogenesis, cellular aggregation followed by mechanical and biochemical perturbations drives threshold-dependent transitions such as compaction, gastrulation, and neurulation, while similar principles extend to pathological states characterized by altered density and stress environments.

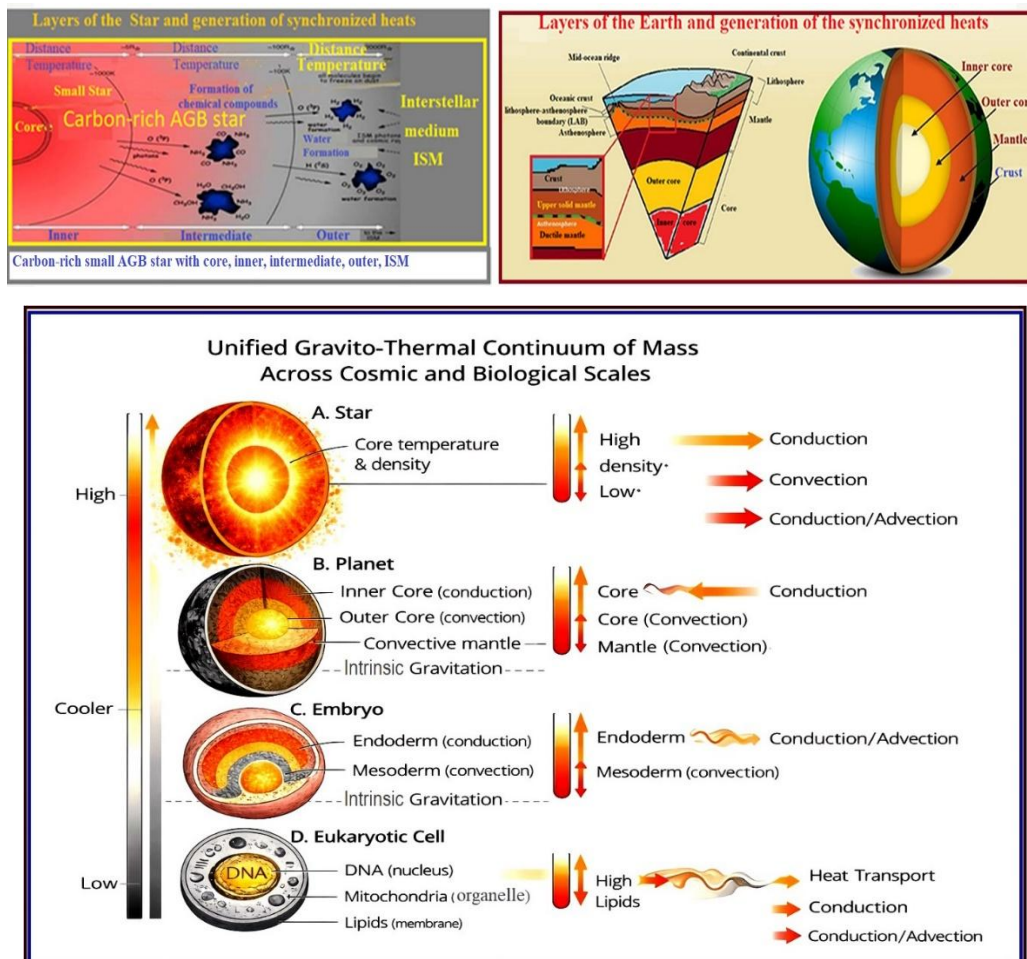
Biological systems exhibit layered organization that enables coordinated energy generation, transport, and regulation. The endoderm functions as a metabolically active core, the mesoderm as a transport and mechanical interface, and the ectoderm as a boundary for protection, dissipation, and signalling, together supporting directional heat flow, material transport, and mechanical stability. Fluid systems further integrate this organization: amniotic and interstitial fluids provide buoyancy and pressure buffering, circulation enables convective transport of heat and mass, and cerebrospinal fluid contributes to neural and cranial mechanical coupling.

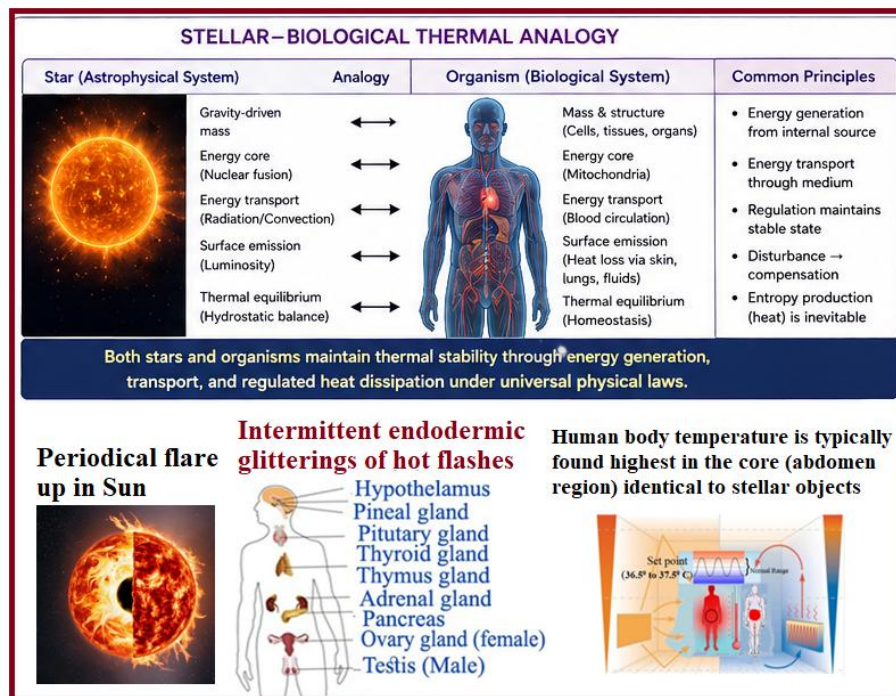
Mechanical forces are distributed in organized patterns of compression, tension, and shear, guiding morphogenesis through structured stress fields. These fields regulate deformation, growth, and adaptation, while metabolic heat generation contributes to cellular signalling, differentiation, and system-level regulation. A recurring principle is the relationship between central stability and peripheral activity, in which inner domains maintain structural stability and peripheral regions enable dynamic processes and differentiation.

The framework may thus be summarized as a continuous progression from mass distribution through thermal generation and fluid mediation to stress organization and functional differentiation, operating concurrently under integrated genetic, biochemical, and physical regulation. Biological systems consequently emerge as thermodynamically active, mechanically structured, fluid-mediated, and genetically regulated assemblies.

Despite differences in scale, convergence across astrophysical and biological systems is reflected in recurring patterns of aggregation, stratification, energy generation, transport, and threshold-driven transitions, suggesting that living systems operate within a broader physical continuum. This perspective provides a unified interpretative platform for embryogenesis as a physically constrained developmental process, multicellularity as a threshold-driven transition, and disease states such as cancer as disruptions of regulated thermodynamic balance. Rather than replacing established paradigms, it offers a complementary framework bridging physics and biology to advance understanding of complex living systems.

Analogy with Physical Systems





15. Conclusion

This study presents a unified interpretation of biological organization within a gravito–thermo–biophysical framework, emphasizing that living systems are not solely biochemical constructs but physically structured entities governed by mass distribution, thermal gradients, fluid dynamics, and mechanical constraints. Across embryogenesis, stem cell behaviour, and organ-level integration, recurring principles—aggregation, threshold transitions, stratification, and convective transport—emerge, linking biological processes to broader organizational patterns observed in geophysical and astrophysical systems.

The cluster–shock–threshold model provides a unifying perspective for understanding developmental transitions and pathological states such as cancer (Bhattacharjee, 2026a and b), where disruption of regulated thermodynamic balance leads to disordered growth. Interpreting germ layers as thermo-functional domains, together with the central role of fluid systems in stability and transport, extends conventional views of morphogenesis beyond purely genetic explanations.

Importantly, this framework does not imply a direct role of gravitational forces at microscopic scales, but highlights the collective influence of mass–density organization, thermal energy, and fluid-mediated mechanics as structural constraints within which biological regulation operates. Genetic (Bhattacharjee, 2026c) and biochemical processes thus function within a physically organized environment that shapes and stabilizes developmental outcomes.

While several aspects remain conceptual and require quantitative validation, the framework offers a cross-scale perspective that may stimulate interdisciplinary research linking physics, developmental biology, and medicine. Future work integrating experimental, computational, and biophysical approaches will be essential to test and refine these ideas, with emerging insights from related fields further informing the understanding of energy transfer and system organization.

Across all stages, a consistent principle emerges: biochemical regulation initiates developmental pathways, but structural form is realized through mechanically executed deformation of cellular assemblies within a

viscoelastic, fluid-mediated system. In this context, stress, pressure, flow, and energy dissipation collectively govern the emergence of biological architecture.

In conclusion, life may be viewed as part of a broader thermodynamic continuum, in which structure and function arise from the coordinated interaction of physical and biological principles across scales.



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